Springer Compact
Make Researchers Comply with their Funders’ Open Access Policies

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Springer’s leading role in Open Access publishing

✓ 2004 Launch of Open Choice – first publisher to allow OA publishing in subscription journals

✓ 2007 Acquisition of BioMed Central – Springer becomes the largest OA publisher

✓ 2014 Springer continues to be the leading OA publisher

✓ 2015 Springer Compact – first publisher to pilot a radically new business model combining OA publishing and subscription content access

✓ 2015 Merger SpringerNature: OA put in the center of the company’s new, combined strategy
## Open Access at Springer – an overview

### Authors’ Rights
- For journals: self-archiving of author’s accepted manuscript version – 12 months embargo
- Upload service for NIH funded authors

### Hybrid Open Access
- Open Access option in 1,600 Springer journal titles
- Open Choice for individual authors:
  - Article fee
  - € 2200/ US$ 3000

### Fully Open Access
- Creative Commons Attribution (CC BY) license for most journals
- Creative Commons Attribution Non-Commercial (CC BY-NC) license for books
Springer Compact
a new milestone in off-setting
Why Springer Compact?

✓ Wish to support the transition from a subscription model to a Gold Open Access model

*Increases global reach, visibility, and impact of scientific output*

✓ Access for researchers to all journals available at SpringerLink must remain

✓ Creation of a sustainable, transparent, and affordable business model that builds on the believe of increased OA publishing

*Wish to publish more OA articles in Springer’s Hybrid Journals*
Why Springer?

- Trendsetter in OA business models

- Large publisher with an excellent relationship with a wide spectrum of authors in all disciplines

- Able to build reliable workflows and infrastructure at large scale

- Trusted partner to libraries/consortia used to piloting
Springer a Trusted Partner for Authors offering a large journal portfolio
Authors’ wishes: Main decision criterion when selecting a journal

<table>
<thead>
<tr>
<th>Factor</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Journal's reputation</td>
<td>62%</td>
</tr>
<tr>
<td>Quality of journal's papers</td>
<td>58%</td>
</tr>
<tr>
<td>Quality of peer review</td>
<td>57%</td>
</tr>
<tr>
<td>International scope</td>
<td>53%</td>
</tr>
<tr>
<td>Speed of publication</td>
<td>51%</td>
</tr>
<tr>
<td>Impact Factor</td>
<td>50%</td>
</tr>
<tr>
<td>Electronic submission system</td>
<td>49%</td>
</tr>
<tr>
<td>Coverage by major A&amp;I services</td>
<td>49%</td>
</tr>
<tr>
<td>Readership</td>
<td>42%</td>
</tr>
<tr>
<td>Advanced online publication</td>
<td>40%</td>
</tr>
<tr>
<td>Editors / editorial board</td>
<td>35%</td>
</tr>
<tr>
<td>Prior experience</td>
<td>31%</td>
</tr>
<tr>
<td>Design / layout</td>
<td>17%</td>
</tr>
<tr>
<td>Open Access</td>
<td>11%</td>
</tr>
</tbody>
</table>

(N = 88,236 respondents, 5-point rating: 1=very satisfied to 5=not at all satisfied)
Springer offers authors a wide range of journals covering all disciplines

Source: Publisher journal price lists; English-language academic/scholarly journals only
Springer offers authors an easy & fast publishing process

1. **Author submits article**
   - Information about OA funding via Springer Compact
   - Author identified
   - Author verified as member of institution / country

2. **Article gets peer-reviewed**

3. **Article gets accepted**

4. **Article gets copy-edited and published at SpringerLink within 20 days**

5. **Article gets automatically deposited in repository**
Author satisfaction with publishing experience in a Springer journal is very high

Latest paper: How satisfied are you with the overall publication process?

1 = Very satisfied
2 = Not at all satisfied

1 = Very satisfied 62%
2 = Not at all satisfied 27%
3 = Somewhat dissatisfied 8%
4 = Dissatisfied 3%
5 = Very dissatisfied 1%

(N = 88,236 respondents, 5-point rating: 1=very satisfied to 5=not at all satisfied)
Springer Compact – a holistic approach

• A unique combination, which puts OA publishing first
  • Open Access publishing in Springer’s hybrid (Open Choice) journals
  • Full Access and archival rights to all subscription-based and licensed journals on SpringerLink
• Partnerships of key stakeholders: authors – librarians – research institutes/funders – Springer

Publishing Open Access in 1,600 Springer hybrid journals
Accessing all journals at SpringerLink
Springer Compact – Principles

• Takes the current spending levels of our partners into account
• Believes that the transition to Gold Open Access makes a shift in budget necessary and that traditional library budgets must be considered as much as other budgets such as those from research institutes or research funders
• Assumes and allows for growth in article output
• Success depends greatly on efficient workflows and transparent reporting – both being developed/optimized/analysed jointly during the pilot phase

• **Springer Compact** does NOT discount the article processing charge of €2,200 per article
• **Springer Compact** off-sets the *publishing costs* against the license fee
• **Springer Compact** grants access and archival rights to the complete SpringerLink holdings
Springer Compact – The Business Model

**Publishing Fee** pays for all types of peer-reviewed OA articles by corresponding authors of affiliated institutes in hybrid journals

**Additional Fee** pays the costs for accessing (incl archival rights) all SpringerLink journals

Springer Compact offers our partners significantly more value

*as of Nov 2014*
Springer Compact: Read & publish with Springer

**Publishing Fee includes**
- Smooth, transparent process: facilitating author identification and approval
- Offset the full open access charge against the Springer Compact publishing fee
- Author services along the publishing process
- OA mandate requirements are met
- Automated article deposit to repositories

**OA publishing in Springer hybrid journals**

**SpringerLink**
- Access to Springer journals

**Access Fee includes**
- Access to all SpringerLink subscription journal articles with an estimated annual article growth of 8-10%
- Archival Rights
- Long-term archiving, content linking, indexing, future formats, etc...
- Customer Account Curation, Reporting, Admin Dashboard
- Further development of features
Common interests and a shared vision among the partners

• Transition to more transparent and sustainable Gold Open Access publishing

• Creation of a sustainable, transparent, and affordable business model that facilitates the increase of Open Access publishing worldwide

• Readiness to team up with funding partner

• Creation of a smooth, user-friendly, and economical workflow to lower the administrative costs of OA publishing

• List of current Springer Compact partners (as of June 2015):
  • Around 40 Dutch institutes and universities
    Kicked-off in January 2015
  • Around 80 UK institutes and universities
    As of Q4 2015
Authors’ benefits

• High visibility to their work
• Easy compliance with Open Access mandates
• Reuse and immediate deposit of final article in any repository
• Authors retain the copyright to their work

• Automatic export triggered to PubMed Central (PMC)/Europe PMC
• OA charge covered centrally by the university/institute – eases the payment process
Your benefits

• Reduction of administrative burden
• Higher value through off-setting
• Compliance with OA mandates
Your Challenges

Customized publication & payment process

• Identify corresponding author’s affiliation
• Verify corresponding author’s eligibility
• Deposit the articles in (institutions’ ) repositories
• Article accounting and reporting
The workflow – brief overview

Identification of author → Verifying eligibility → Production → Publication and deposit

Author identification and verification process

Set-up account / payment → Regular reporting → Review article uptake

Accounting and reporting process
Key challenges so far
Key challenges

- Estimation of article numbers – creating the base-line & a forecast
- Data quality – how many articles are published by the institutions
- Data quality – classification of article types
- Linking between budgets
- Creation of automated workflows and reliable performance
  - e.g. ‘standard’ production workflows
- Reporting
Thank you